#### **Object Oriented Databases and Object Persistence**

#### JUMP INTO THE EVOLVING WORLD OF DATABASE MANAGEMENT

Principles of Database Management provides students with the comprehensive database management information to understand and apply the fundamental concepts of database design and modeling, database systems, data storage, and the evolving world of data warehousing, governance and more. Designed for those studying database management for information management or computer science, this illustrated textbook has a well-balanced theory-practice focus and covers the essential topics, from established database technologies up to recent trends like Big Data, NoSQL, and analytics. On-going case studies, drill-down boxes that reveal deeper insights on key topics, retention questions at the end of every section of a chapter, and connections boxes that show the relationship between concepts throughout the text are included to provide the practical tools to get started in database management.

#### **KEY FEATURES INCLUDE:**

- Full-color illustrations throughout the text.
- Extensive coverage of important trending topics, including data warehousing, business intelligence, data integration, data quality, data governance, Big Data and analytics.
- An online playground with diverse environments, including MySQL for querying; MongoDB; Neo4j Cypher; and a tree structure visualization environment.
- Hundreds of examples to illustrate and clarify the concepts discussed that can be reproduced on the book's companion online playground.
- · Case studies, review questions, problems and exercises in every chapter.
- · Additional cases, problems and exercises in the appendix.

Online Resources www.cambridge.org/

Solutions manual Code and data for examples

Cover illustration: @Chen Hanquan / DigitalVision / Getty Images Cover design: Andrew Ward.



WILFRIED LEMAHIEU Seppe vanden broucke Bart baesens

THE PRACTICAL GUIDE TO STORING, MANAGING

AND ANALYZING BIG AND SMALL DATA

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#### Introduction

- Recap: Basic Concepts of OO
- Advanced Concepts of OO
- Basic Principles of Object Persistence
- OODBMS
- Evaluating OODBMSs

- Object is an instance of a class
- Class contains a blueprint description of all the object's characteristics
- Object bundles both variables (which determine its state) and methods (which determine its behavior) in a coherent way

public class Employee {

private int EmployeeID; private String Name; private String Gender; private Department Dep;

```
public int getEmployeeID() {
    return EmployeeID;
}
public void setEmployeeID(
int id ) {
    this.EmployeeID = id;
}
public String getName() {
    return Name;}
```

```
public void setName( String
name) {
    this.Name = name;
}
public String getGender() {
    return Gender;
public void setGender( String
gender ) {
    this.Gender = gender;
public Department getDep() {
    return Dep;
public void setDep(Department
dep) {this.Dep = dep;}}
```

- Getter and setter methods implement the concept of information hiding (aka encapsulation)
- Encapsulation enforces a strict separation between interface and implementation.
  - interface consists of the signatures of the methods.
  - implementation is based upon the object's variables and method definitions

public class EmployeeProgram { public static void main(String[] args) { Employee Bart = new Employee(); Employee Seppe = new Employee(); Employee Wilfried = new Employee(); Bart.setName("Bart Baesens"); Seppe.setName("Seppe vanden Broucke"); Wilfried.setName("Wilfried Lemahieu");

- Method overloading
- Inheritance
- Method overriding
- Polymorphism
- Dynamic binding

- Method overloading refers to using the same name for more than one method in the same class.
- OO language environment can then determine which method you are calling, provided the number or type of parameters is different in each method

```
public class Book {
String title;
String author;
boolean isRead;
int numberOfReadings;
public void read(){
    isRead = true;
    numberOfReadings++;
```

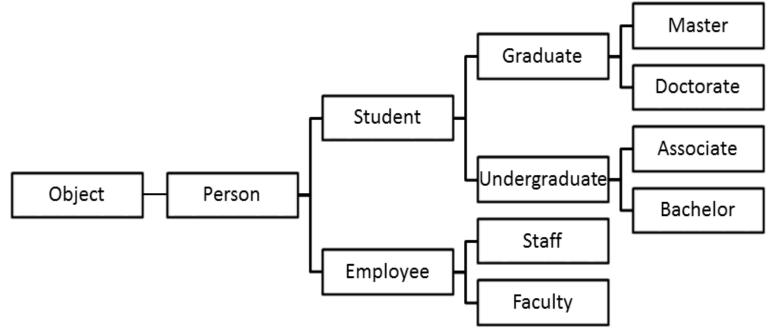
}

```
public void read(int i){
    isRead = true;
    numberOfReadings +=
i;
}
```

#### read(1) same effect as read()

- Method overloading is a handy feature when defining constructors for a class
- A **constructor** is a method which returns an object of a class
- Examples:
  - Student(String name, int year, int month, int day)
  - Student(String name)

- Inheritance represents an "is a" relationship
  - E.g. Student and Employee inherit from Person
  - Superclass versus Subclass



```
public class Person {
private String name;
```

```
public Person(String name){
this.setName(name);
}
public String getName(){
return this.name;
}
public void setName(String name){
this.name = name;
}
}
```

```
public class Employee extends Person {
  private Employee manager;
  private int id;
```

```
public Employee(String name, Employee manager,
int empID) {
super(name);
this.setManager(manager);
this.setEmployeeID(empID);
}
public Employee getManager() {
return manager;
public void setManager(Employee manager) {
this.manager = manager;
}
public int getEmployeeID() {
return id;
}
private void setEmployeeID(int employeeID) {
this.id = employeeID;}}
                                               12
```

 Method overriding: subclasses can override an inherited method with a new, specialized implementation

#### **Student Class**

```
public double calculateGPA() {
double sum = 0;
int count = 0;
for (double grade : this.getGrades()){
sum += grade;
count++;
}
return sum/count;
}
```

#### **Graduate Class**

```
public double calculateGPA(){
double sum = 0;
int count = 0;
for (double grade : this.getGrades()){
if (grade > 80){
sum += grade;
count++;
}
}
return sum/count;
}
```

- Polymorphism refers to the ability of objects to respond differently to the same method
  - closely related to inheritance
  - depending on the functionality desired, the OO environment might consider a particular Master object as a Master, a Graduate, a Student, or a Person
- Static binding binds a method to its implementation at compile time
- Dynamic binding binds a method to its appropriate implementation at runtime, based on the object and its class.

```
public class PersonProgram {
public static void main(String[] args){
Student john = new Master("John Adams");
john.setGrades(0.75,0.82,0.91,0.69,0.79);
Student anne = new Associate("Anne Philips");
anne.setGrades(0.75,0.82,0.91,0.69,0.79);
System.out.println(john.getName() + ": " +
john.calculateGPA());
System.out.println(anne.getName() + ": " +
anne.calculateGPA());
}
                                      OUTPUT:
                                      John Adams: 0.865
```

Anne Philips: 0.792

- Transient object is only needed during program execution and can be discarded when the program terminates
- Persistent object is an object that should survive program execution
- Persistence strategies:
  - Persistence by class
  - Persistence by creation
  - Persistence by marking
  - Persistence by inheritance
  - Persistence by reachability

- Persistence by class implies that all objects of a particular class will be made persistent
- Persistence by creation is achieved by extending the syntax for creating objects to indicate at compile-time that an object should be made persistent
- **Persistence by marking** implies that all objects will be created as transient. An object can then be marked as persistent during program execution

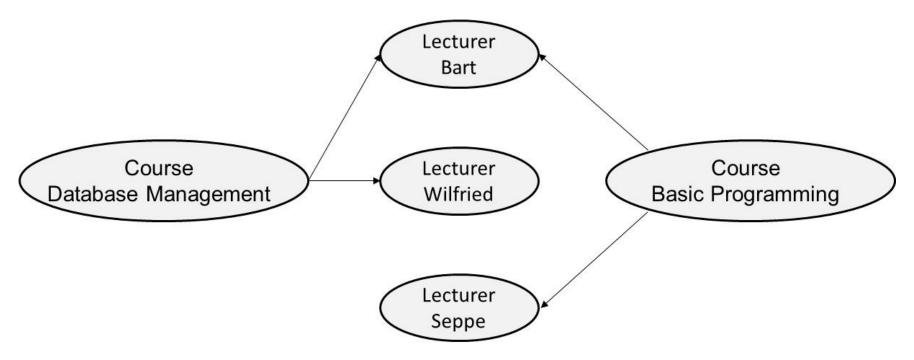
- Persistence by inheritance indicates that the persistence capabilities are inherited from a predefined persistent class
- Persistence by reachability starts by declaring the root persistent object(s). All objects that are referred to (either directly or indirectly) by the root object(s) will then be made persistent as well.

- Persistence orthogonality
  - persistence independence: persistence of an object is independent of how a program manipulates it
  - type orthogonality: all objects can be made persistent, irrespective of their type or size
  - transitive persistence: refers to persistence by reachability

- Persistent programming languages extend an OO language with a set of class libraries for object persistence
- Serialization translates an object's state into a format that can be stored (for example, in a file) and reconstructed later

```
public class EmployeeProgram {
         public static void main(String[] args) {
                   Employee Bart = new Employee();
                   Employee Seppe = new Employee();
                   Employee Wilfried = new Employee();
                  Bart.setName("Bart Baesens");
                  Seppe.setName("Seppe vanden Broucke");
                  Wilfried.setName("Wilfried Lemahieu");
                  try{
                   FileOutputStream fos = new FileOutputStream("myfile.ser");
                  ObjectOutputStream out = new ObjectOutputStream(fos);
                  out.writeObject(Bart);
                  out.writeObject(Seppe);
                  out.writeObject(Wilfried);
                  out.close;
                   }
         catch (IOException e){e.printStackTrace();}
         }
                                                       persistence by reachability!
}
```

- Serialization suffers from the same disadvantages of the file based approach
- Lost object identity



- Object-oriented DBMSs (OODBMSs) store persistent objects in a transparent way
- OODBMSs originated as extensions o OO programming languages
- OODBMSs support persistence orthogonality
- OODBMSs guarantee the ACID properties

- Every object has a unique and immutable object identifier (OID)
  - Not dependent upon state of object ( $\leftrightarrow$  primary key)
  - Unique within entire OO environment ( $\leftrightarrow$  primary key)
  - Invisible to the user ( $\leftrightarrow$  primary key)
- OIDs are used to identify objects and to create and manage references between objects
- OO model is often referred to as an identity-based model
   Relational model: value based model

- Two objects are said to be equal when the values of their variables are the same (object equality)
   – Shallow versus deep equality
- Two objects are said to be **identical** or equivalent when their OIDs are the same (object identity)

 The Object Database Management Group (ODMG) was formed in 1991 by a group of OO database vendors

– Changed to Object Management Group (OMG) in 1998

- Promote portability and interoperability for object persistence by introducing a DDL and DML similar to SQL
- only one language for dealing with both transient and persistent objects

- OMG introduced 5 standards( most recent ODMG 3.0 in 2000 ) with following components:
  - Object Model: provides a standard object model for OODBMS
  - Object Definition Language (ODL): specifies object definitions (classes and interfaces)
  - Object Query Language (OQL): allows to define SELECT queries
  - Language Bindings (e.g., for C++, Smalltalk and Java): retrieve and manipulate object data.

- Object Model provides a common model to define classes, variables or attributes, behavior and object persistence.
- Two basic building blocks are objects and literals
- A literal does not have an OID and cannot exist on its own (↔ an object)
- Types of literals: atomic, collection, structured

- Atomic literals: short (short integer), long (long integer), double (real number), float (real number), boolean (true or false), char, and string
- Collection literals:
  - Set: unordered collection of elements without duplicates
  - Bag: unordered collection of elements which may contain duplicates
  - List: ordered collection of elements
  - Array: ordered collection of elements which is indexed
  - Dictionary: unordered sequence of key-value pairs without duplicates

- A structured literal consists of a fixed number of named elements
- E.g., Date, Interval, Time and TimeStamp

```
struct Address{
string street;
integer number;
integer zipcode;
string city;
string state;
string country;
};
```

 Object Definition Language (ODL) is a DDL to define the object types that conform to the ODMG Object Model

```
class EMPLOYEE
(extent employees
key SSN)
attribute string SSN;
attribute string ENAME;
attribute struct ADDRESS;
attribute enum GENDER {male, female};
attribute date DATE OF BIRTH;
relationship set<EMPLOYEE> supervises
inverse EMPLOYEE:: supervised by;
relationship EMPLOYEE supervised by
inverse EMPLOYEE:: supervises;
relationship DEPARTMENT works in
inverse DEPARTMENT:: workers;
relationship set<PROJECT> has_projects
inverse PROJECT:: has employees;
string GET SSN();
void SET SSN(in string new ssn);}
```

•••

```
class MANAGER extends EMPLOYEE
(extent managers)
{
 attribute date mgrdate;
 relationship DEPARTMENT manages
 inverse DEPARTMENT:: managed_by
}
```

class DEPARTMENT (extent departments key DNR) attribute string DNR; attribute string DNAME; attribute set<string> DLOCATION; relationship set<EMPLOYEE> workers **inverse** EMPLOYEE:: works in; relationship set<PROJECT> assigned\_to\_projects **inverse** PROJECT:: assigned to department **relationship** MANAGER managed by inverse MANAGER:: manages; string GET DNR(); void SET\_DNR(in string new\_dnr); ...}

```
class PROJECT
(extent projects
key PNR)
{
attribute string PNR;
attribute string PNAME;
attribute string PDURATION;
relationship DEPARTMENT assigned_to_department
inverse DEPARTMENT:: assigned to projects;
relationship SET<EMPLOYEE> has employees
inverse EMPLOYEE:: has_projects;
string GET PNR();
void SET_PNR(in string new_pnr);
```

- A class is defined using the keyword **class**
- The **extent** of a class is the set of all current objects of the class
- A variable is declared using the keyword **attribute**
- Operations or methods can be defined by their name followed by parentheses
  - keywords in, out, and inout are used to define the input, output and input/output parameters
- extends keyword indicates the inheritance relationship

- Relationships can be defined using the keyword **relationship**.
- Only unary and binary relationships with cardinalities of 1:1, 1:N, or N:M are supported in ODMG.
- Ternary (or higher) relationships and relationship attributes need to be decomposed by introducing extra classes and relationships.

• Every relationship is defined in a bidirectional way, using the keyword **inverse** 

# relationship DEPARTMENT works\_in inverse DEPARTMENT:: workers;

relationship set<EMPLOYEE> workers
inverse EMPLOYEE:: works\_in;

• N:M relationship can be implemented by defining collection types (e.g. set, bag)

relationship set<PROJECT> has\_projects
inverse PROJECT:: has\_employees;

relationship SET<EMPLOYEE> has\_employees
inverse EMPLOYEE:: has\_projects;

- Object Query Language (OQL) is a declarative, non-procedural query language
- OQL can be used for both navigational (procedural) as well as associative (declarative) access

• A **navigational query** explicitly navigates from one object to another

Bart.DATE\_OF\_BIRTH Bart.ADDRESS Bart.ADDRESS.CITY

 An associative query returns a collection (e.g., a set or bag) of objects which are located by the OODBMS.

#### Employees

- SELECT... FROM ... WHERE OQL queries
- OQL query returns a bag

SELECT e.SSN, e.ENAME, e.ADDRESS, e.GENDER
FROM employees e
WHERE e.name="Bart Baesens"

SELECT e.SSN, e.ENAME, e.ADDRESS, e.GENDER, e.age FROM employees e WHERE e.name="Bart Baesens"

SELECT e
FROM employees e
WHERE e.age > 40

• OQL join queries

SELECT e.SSN, e.ENAME, e.ADDRESS, e.GENDER, e.age
FROM employees e, e.works\_in d
WHERE d.DNAME="ICT"

SELECT e1.ENAME, e1.age, d.DNAME, e2.ENAME, e2.age FROM employees e1, e1.works\_in d, d.managed\_by e2 WHERE e1.age > e2.age

count(employees)

SELECT e.SSN, e.ENAME
FROM employees e
WHERE EXISTS e IN (SELECT x FROM
projects p WHERE p.has\_employees x)

SELECT e.SSN, e.ENAME, e.salary
FROM employees e

- ODMG language bindings provide implementations for the ODL and OQL specifications in popular OO programming languages (e.g. C++, Smalltalk or Java)
- Object Manipulation Language (OML) is kept languagespecific
- E.g., for the Java language binding, this entails that Java's type system will also be used by the OODBMS, that the Java language syntax is respected and that the OODBMS should handle management aspects based on Java's object semantics

# **Evaluating OODBMSs**

- Complex objects and relationships are stored in a transparent way (no impedance mismatch!)
- Success of OODBMSs has been limited to niche applications
  - E.g., processing of scientific data sets by CERN
- Disadvantages
  - the (ad-hoc) query formulation and optimization procedures
  - robustness, security, scalability and fault-tolerance
  - no transparent implementation of the 3 layer database architecture (e.g. views)

# **Evaluating OODBMSs**

- Most mainstream database applications will, however, typically be built using an OO programming language in combination with an RDBMS
- **Object Relational Mapping (ORM)** framework is used as middleware to facilitate the communication between both environments: OO host language and RDBMS

#### Conclusions

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- OODBMS
- Evaluating OODBMSs

#### More information?

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Code and data for examples

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